

**SYLLABUS**  
FI 355: Wyckoff  
Saturday, 09:00 AM to 02:00 PM

Henry O.( Hank) Pruden, Ph.D  
Professor, School of Business

San Francisco Campus  
Golden Gate University

**Bruce Fraser**  
Consulting Adjunct Professor

Wyckoff via the Action Sequence

Effective investing and trading requires bedrock of sound principles which employ a well studied and researched investment methodology, fosters a balanced mental outlook and employees proper money management and risk control technique. The Wyckoff Method is a sound, time-tested and extremely practical philosophy for employing a strategy and judgmental methodology based on technical analysis in the global financial markets. The principle and the procedures of Wyckoff Method emphasized in the class will be the Law of cause and effect and the application of all point and figure chart. The Wyckoff relationships and the Law are revealed by vertical line charts and figure charts. Studies of bar charts student learn how to determine trends, dissect trading ranges, pinpoint turning points and locate when a market is displaying the readiness to move. The student uses the figure chart to determine price objective. In the course the instructor will guide you with the Wyckoff Method via the Action Sequence, a participative, dynamic and productive learning process. The instructors inspire to make the Wyckoff Method as inseparable part of how you conduct your trading and investments operations in the world's financial markets.

Nature of Wyckoff II

Wyckoff II, a continuation of Wyckoff I, places emphasis upon the laws of cause and effect. The Focus is upon the construction, interpretation and use of POINT-AND-FIGURE CHART.

Prerequisites

FI 352, Technical Analysis, Wyckoff Method part I, or the consent of the instructor.

Instructors

DR.HENRY O. PRUDEN (Hank) earned BS degree from Chico State, a MBA from University of California, Berkeley and a Ph.D. (with Honors)from the University of Oregon. Hank is a full time faculty in the School of Business at Golden Gate University. Prior to joining the Golden Gate University in 1976, Dr. Pruden taught marketing and related business subject in various universities in the united state and abroad, principally at the University of Texas at Austin, where he held a tenured position. Dr. Pruden pioneered the first technical market analysis classes at Golden Gate University. An active scholar as well as an active trader for over twenty years, Dr. Pruden was the Editor of

The Market Technicians Association Journal, a Board member and recent member of Technical Securities Analyst Association San Francisco, and past a regular lecture at investments seminars around the world. Hank is developer of Action Sequence method of active learning and author of Three Skills of Top Trading text book (Wiley 2007).

#### Bruce Fraser

Bruce Fraser while an adjunct Professor helped to create the first Wyckoff course with Hank in early 1990's, Bruce is a veteran of markets, having been a partner in Pring-Turner Capital Management an active trader for T.S. Advisors in Portland, Oregon and he now trades his own account using Wyckoff Principles, Bruce also helped to develop FI 358 Strategy course and he has keen interest in trader psychology and money management. He is active in the TSAASF as a Board Member and earlier he was he president of the organization. An M.B.A in the finance from Golden Gate University, Bruce has received high marks as the instructor of variety of TA courses at GGU.

#### Grading

|                                       |            |
|---------------------------------------|------------|
| Action Sequences                      | 25%        |
| Class Participation and presentations | 25%        |
| Term Paper                            | 40%        |
| <u>Examinations/ Quizzes</u>          | <u>10%</u> |
| Total                                 | 100%       |

#### Contacting the Instructors:

Hank Pruden, Ph.D

Telephone: 415- 442-6583

Fax: 415-442-6579

Email: [Hpruden@ggu.edu](mailto:Hpruden@ggu.edu)

Bruce Fraser

Telephone;

Fax:

Email:

#### Textbook

Hank Pruden, Three Skills of Top Trading

#### Materials

Student will be given 3-ring binder for the collection of many handouts that will be distributed in the class. Audiotapes may also be made available.

FI 355, Wyckoff II  
Schedule of assignments

| <u>Session No.</u> | <u>Topics</u>  |
|--------------------|--|
| 1.                 | Recap of FI 354 and transition to FI 355<br>Getting in gear again with Wyckoff : Five Pre-requisites to<br>Establishing a Position by David Mathys<br>Introduction to the construction and the use of Figure charts. |
| 2.                 | Construction of Figure Charts: Concept, Procedure, Construction<br>Coordinating Vertical Line and Figure Charts.<br>Law of Cause and Effect: The Count Guide   |
| 3.                 | Action sequence 12.1 and series  |
| 4.                 | Term Project assignment defined San Francisco company as an Action<br>Sequence   |
| 5.                 | Group Rotation Using Figure Charts( Action Sequences)<br>Group rotation, Concluded.<br>Cases: Letting the Counts Work out, etc.  |
| 6.                 | Wyckoff and Elliott: Partners in Command.  |
| 7.                 | Student Presentation of Term Project   |