

SELECTED FCM FINANCIAL DATA AS OF
July 31, 2010
FROM REPORTS FILED BY
August 30, 2010

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	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required 4d(a)(2)	Customer Amount Pt. 30 Required
		(a)	(b)			(c)	(d)	(e)	(f)
1	ABN AMRO CLEARING CHICAGO LLC	Y	CBOT	07/31/2010	218,086,765	63,662,409	154,424,356	1,095,072,719	168,343,099
2	ADM INVESTOR SERVICES INC	N	CBOT	07/31/2010	184,054,773	101,526,598	82,528,175	1,725,107,635	69,151,206
3	ADVANCED MARKETS LLC	N	NFA	07/31/2010	20,824,079	20,000,000	824,079	0	0
4	ADVANTAGE FUTURES LLC	N	CME	07/30/2010	21,284,438	11,625,921	9,658,517	295,228,552	39,626,465
5	ADVANTAGE SECURITIES LLC	Y	NFA	07/30/2010	2,488,167	1,000,000	1,488,167	3,963,774	0
6	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC*	Y	NFA	06/30/2010	53,644,953	9,189,114	44,455,839	0	0
7	ALPARI (US) LLC	N	NFA	07/31/2010	21,883,014	20,000,000	1,883,014	0	0
8	AMERIPRISE FINANCIAL SERVICES INC	Y	NFA	07/31/2010	144,833,753	1,000,000	143,833,753	0	0
9	AMP GLOBAL CLEARING LLC	N	NFA	07/31/2010	1,135,988	1,000,000	135,988	0	0
10	BARCLAYS CAPITAL INC	Y	NYME	07/31/2010	6,986,053,458	702,839,914	6,283,213,544	4,234,569,832	1,814,248,435
11	BGC FINANCIAL LP	Y	NFA	07/31/2010	21,412,526	1,000,000	20,412,526	0	0
12	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	07/31/2010	362,437,754	174,473,043	187,964,711	1,062,523,609	378,964,478
13	BNP PARIBAS SECURITIES CORP	Y	CBOT	07/30/2010	1,675,379,280	68,467,560	1,606,911,720	382,801,033	0
14	BNY MELLON CLEARING LLC	N	CME	07/30/2010	29,263,707	4,088,293	25,175,414	0	0
15	CANTOR FITZGERALD & CO	Y	CBOT	07/31/2010	116,590,278	2,225,607	114,364,671	12,190,295	0
16	CAPITAL MARKET SERVICES LLC	N	NFA	07/31/2010	28,054,497	20,328,413	7,726,084	0	0
17	CIBC WORLD MARKETS CORP	Y	CME	07/30/2010	664,601,739	1,500,000	663,101,739	0	0
18	CITADEL SECURITIES LLC	Y	CME	07/30/2010	276,850,980	12,799,932	264,051,048	0	0
19	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	07/30/2010	8,719,500,730	668,098,334	8,051,402,396	10,442,364,090	1,686,938,937
20	COMMONWEALTH FOREIGN EXCHANGE INC	N	NFA	07/31/2010	1,541,497	1,000,000	541,497	0	0
21	COMPASS PROFESSIONAL SERVICES LLC	Y	NFA	07/31/2010	3,113,679	1,000,000	2,113,679	0	0
22	COUNTRY HEDGING INC	N	NFA	07/31/2010	16,102,655	9,743,900	6,358,755	62,150,016	9,286
23	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	07/30/2010	10,544,864,379	837,596,663	9,707,267,716	4,820,912,711	1,789,044,881
24	CROSSLAND LLC	N	CBOT	07/31/2010	7,581,957	4,994,155	2,587,802	48,642,375	52,733,890
25	CUNNINGHAM COMMODITIES LLC	N	CBOT	07/31/2010	3,513,377	1,022,868	2,490,509	39,942,996	58,887
26	CX CAPITAL MARKETS LLC	N	NFA	07/31/2010	2,432,317	1,000,000	1,432,317	17,612,295	0
27	DAIWA CAPITAL MARKETS AMERICA INC	Y	CME	07/31/2010	212,144,891	1,000,000	211,144,891	5,054,108	40,979
28	DEUTSCHE BANK SECURITIES INC	Y	CBOT	07/30/2010	7,886,207,769	609,003,402	7,277,204,367	11,617,869,712	1,254,461,343
29	DORMAN TRADING LLC	N	CME	07/31/2010	6,613,540	1,000,000	5,613,540	97,272,537	74,032
30	EAGLE MARKET MAKERS INC	N	CBOT	07/31/2010	6,052,699	1,000,000	5,052,699	4,562,740	0
31	EASY FOREX US LTD	N	NFA	07/31/2010	20,027,843	20,000,000	27,843	0	0
32	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	07/31/2010	8,772,912	1,000,000	7,772,912	0	0
33	ENSKILDA FUTURES LTD	N	CME	07/31/2010	27,842,507	15,103,644	12,738,863	238,703,792	0
34	FARR FINANCIAL INC	N	NFA	07/31/2010	1,841,207	1,000,000	841,207	35,484,808	33,926
35	FCSTONE LLC	N	CME	07/31/2010	70,015,487	39,957,840	30,057,647	841,469,394	9,612,219
36	FOREX CAPITAL MARKETS LLC	N	NFA	07/31/2010	68,009,995	23,986,577	44,023,418	0	0
37	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	07/31/2010	20,194,105	20,000,000	194,105	0	0
38	FRIEDBERG MERCANTILE GROUP INC	N	NFA	07/31/2010	3,378,166	1,000,000	2,378,166	4,965,092	470,139
39	FRONTIER FUTURES INC	N	NFA	07/31/2010	1,700,405	1,000,000	700,405	19,264,440	0
40	FUTURES TECH LLC	N	NFA	07/31/2010	1,567,123	1,000,000	567,123	0	0
41	FX SOLUTIONS LLC	N	NFA	07/31/2010	35,801,419	20,343,180	15,458,239	0	0

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	Futures Commission Merchant			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount
		(a)	(b)			(c)	(d)	4d(a)(2) (e)	Pt. 30 Required (f)
42	FXDIRECTDEALER LLC	N	NFA	07/31/2010	34,380,380	21,779,339	12,601,041	0	0
43	GAIN CAPITAL GROUP LLC	N	NFA	07/31/2010	82,265,413	26,024,701	56,240,712	0	0
44	GENESIS FUTURES LLC	N	NFA	07/31/2010	1,519,322	1,000,000	519,322	0	0
45	GILDER GAGNON HOWE & CO LLC	Y	NFA	07/31/2010	18,962,334	1,000,000	17,962,334	0	0
46	GLOBAL FUTURES & FOREX LTD	N	NFA	07/31/2010	90,989,807	26,170,997	64,818,810	190,732	190,557
47	GOLDMAN SACHS & CO	Y	CBOT	07/31/2010	10,965,428,151	1,928,727,768	9,036,700,383	19,459,938,789	6,816,778,435
48	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	07/30/2010	1,916,681,445	93,904,400	1,822,777,045	1,168,545,758	24,730,280
49	HSBC SECURITIES USA INC	Y	CME	07/30/2010	1,186,785,534	95,206,588	1,091,578,946	740,238,489	97,148,972
50	ICAP FUTURES LLC	N	NFA	07/31/2010	9,728,489	1,000,000	8,728,489	0	0
51	IG MARKETS INC	N	NFA	07/31/2010	30,386,470	20,000,000	10,386,470	50,332	0
52	IKON GLOBAL MARKETS INC	N	NFA	07/31/2010	22,509,280	20,526,556	1,982,724	8,598,750	108,434
53	INSTINET LLC	Y	NFA	07/30/2010	116,327,892	1,207,322	115,120,570	0	0
54	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	07/31/2010	1,875,954	1,000,000	875,954	0	0
55	INTERACTIVE BROKERS LLC	Y	NFA	07/31/2010	1,044,275,343	113,001,141	931,274,202	500,535,589	140,291,044
56	INTERBANK FX LLC	N	NFA	07/31/2010	29,575,524	21,473,614	8,101,910	0	0
57	ITG DERIVATIVES LLC	Y	NFA	07/31/2010	4,527,694	1,000,000	3,527,694	0	0
58	JP MORGAN CLEARING CORP	Y	CME	07/31/2010	5,515,478,514	1,578,611,400	3,936,867,114	496,469,415	293,612,769
59	JP MORGAN FUTURES INC	N	NYME	07/31/2010	2,041,859,723	1,170,663,192	871,196,531	14,721,586,343	1,624,359,782
60	JP MORGAN SECURITIES INC	Y	NFA	07/31/2010	8,206,344,923	544,470,948	7,661,873,975	0	0
61	LEK SECURITIES CORPORATION	Y	NFA	07/31/2010	11,010,686	1,500,000	9,510,686	701,030	0
62	LIMESTONE TRADING LLC	N	NFA	07/31/2010	5,997,512	1,000,000	4,997,512	0	0
63	LINN GROUP THE	N	NFA	07/31/2010	2,007,438	1,000,000	1,007,438	28,028,489	110,048
64	LPL FINANCIAL CORPORATION	Y	NFA	07/31/2010	113,673,918	5,437,214	108,236,704	0	0
65	MACQUARIE FUTURES USA INC	N	CBOT	07/31/2010	82,205,640	44,266,942	37,938,698	699,214,480	7,942,436
66	MAREX USA LIMITED	N	NFA	07/31/2010	3,517,689	1,000,000	2,517,689	0	0
67	MB TRADING FUTURES INC	N	NFA	07/31/2010	24,412,412	20,000,000	4,412,412	0	0
68	MBF CLEARING CORP	N	NYME	07/31/2010	11,283,042	1,102,398	10,180,644	68,894,068	4,275,769
69	MCVEAN TRADING & INVESTMENTS LLC	N	NFA	07/30/2010	11,142,640	2,440,958	8,701,682	581,299,917	0
70	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	07/31/2010	5,682,563,390	669,817,011	5,012,746,379	8,530,359,697	1,832,033,536
71	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	07/31/2010	2,228,864,220	170,597,681	2,058,266,539	704,546,803	29,439,368
72	MF GLOBAL INC	Y	CME	07/31/2010	521,362,870	353,967,127	167,395,743	7,028,984,490	556,813,794
73	MG FINANCIAL LLC	N	NFA	07/31/2010	3,929,996	1,000,000	2,929,996	0	0
74	MID CO COMMODITIES INC	N	NFA	07/31/2010	5,254,859	3,679,683	1,575,176	14,758,270	0
75	MITSUBISHI UFJ SECURITIES USA INC	Y	NFA	07/31/2010	89,292,365	2,291,937	87,000,428	0	0
76	MITSUMI BUSSAN COMMODITIES USA INC	N	NYME	07/31/2010	9,088,330	4,893,114	4,195,216	0	0
77	MIZUHO SECURITIES USA INC	Y	CME	07/30/2010	418,291,574	58,183,424	360,108,150	706,616,103	282,945,569
78	MORGAN KEEGAN & COMPANY INC	Y	NFA	07/31/2010	416,605,287	11,438,114	405,167,173	0	0
79	MORGAN STANLEY & CO INCORPORATED	Y	CME	07/31/2010	7,922,812,739	982,294,446	6,940,518,293	4,648,954,011	1,939,186,703
80	MORGAN STANLEY SMITH BARNEY LLC	Y	NFA	07/31/2010	1,273,258,594	40,396,612	1,232,861,982	601,251,441	1,376,187,675
81	NATIXIS SECURITIES NORTH AMERICA INC	Y	NFA	07/30/2010	155,724,081	1,000,000	154,724,081	0	0
82	NEUBERGER BERMAN LLC	Y	NFA	07/31/2010	55,878,662	1,500,000	54,378,662	0	0
83	NEWEDGE USA LLC	Y	NYME	07/31/2010	2,414,636,975	1,285,946,910	1,128,690,065	18,947,263,785	5,925,501,597

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		(a)	(b)			(c)	(d)	4d(a)(2) (e)	Pt. 30 Required (f)
84	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	07/30/2010	1,280,790,041	16,357,273	1,264,432,768	89,176,185	229,536
85	OANDA CORPORATION	N	NFA	07/31/2010	167,398,588	29,420,196	137,978,392	0	0
86	OPEN E CRY LLC	N	NFA	07/31/2010	3,603,178	1,000,000	2,603,178	82,117,500	632,753
87	OPPENHEIMER & CO INC	Y	NFA	07/30/2010	163,227,780	23,119,820	140,107,960	17,728,405	0
88	OPTIONSXPRESS INC	Y	NFA	07/31/2010	105,963,531	12,082,751	93,880,780	73,717,488	666,125
89	PENSON GHCO	N	CBOT	07/31/2010	25,621,449	16,288,583	9,332,866	475,270,342	30,449,190
90	PEREGRINE FINANCIAL GROUP INC	N	NFA	07/31/2010	34,902,413	20,000,000	14,902,413	408,407,283	27,099,563
91	PIONEER FUTURES INC	N	NYME	07/31/2010	2,036,187	1,000,000	1,036,187	0	0
92	PRUDENTIAL BACHE COMMODITIES LLC	N	CBOT	07/31/2010	216,603,000	159,766,400	56,836,600	2,344,930,000	226,995,000
93	RAND FINANCIAL SERVICES INC	N	CME	07/31/2010	70,341,777	13,876,001	56,465,776	162,005,800	24,363,600
94	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	07/30/2010	353,273,980	27,448,559	325,825,421	0	0
95	RBC CAPITAL MARKETS CORPORATION	Y	CME	07/30/2010	997,431,715	75,178,605	922,253,110	380,400,873	84,574,466
96	RBS SECURITIES INC	Y	CBOT	07/31/2010	1,850,308,000	181,891,000	1,668,417,000	1,453,660,000	56,068,000
97	RJ OBRIEN ASSOCIATES LLC	N	CME	07/31/2010	120,796,626	70,322,591	50,474,035	1,547,042,376	47,625,908
98	ROSENTHAL COLLINS GROUP LLC	N	CME	07/31/2010	61,325,834	35,597,524	25,728,310	1,161,595,443	31,837,450
99	ROSENTHAL GLOBAL SECURITIES LLC	Y	NFA	07/31/2010	35,410,776	1,000,000	34,410,776	0	0
100	SANFORD C BERNSTEIN & CO LLC	Y	NFA	07/30/2010	174,194,648	13,139,160	161,055,488	0	0
101	SANTANDER INVESTMENT SECURITIES INC	Y	NFA	07/30/2010	100,692,505	1,000,000	99,692,505	0	0
102	SHAY GRAIN CLEARING COMPANY	N	KCBT	07/31/2010	2,514,422	1,000,000	1,514,422	12,059,732	0
103	SMW TRADING COMPANY INC	N	CME	07/31/2010	24,285,728	1,000,000	23,285,728	3,639,829	0
104	STATE STREET GLOBAL MARKETS LLC	Y	CME	07/31/2010	364,864,034	1,000,000	363,864,034	15,427,680	7,690,436
105	STEPHENS	Y	NFA	07/31/2010	73,291,278	12,900,909	60,390,369	0	0
106	STERLING COMMODITIES CORP	N	NYME	07/31/2010	8,039,412	1,584,076	6,455,336	51,810,227	274,376
107	TCA FUTURES LLC	N	NFA	07/31/2010	1,622,363	1,000,000	622,363	0	0
108	TD AMERITRADE INC	Y	NFA	07/31/2010	244,770,619	1,000,000	243,770,619	0	0
109	TENCO INC	N	CBOT	07/31/2010	48,136,585	6,219,777	41,916,808	225,416,540	2
110	TERRA NOVA FINANCIAL LLC	Y	NFA	07/30/2010	9,712,257	1,500,000	8,212,257	0	0
111	TIMBER HILL LLC	Y	CME	07/31/2010	1,013,777,828	36,709,710	977,068,118	422,788,551	0
112	TOWER RESEARCH CAPITAL EUROPE LLC	N	NFA	07/31/2010	5,509,474	1,000,000	4,509,474	0	0
113	TRADELINK LLC	Y	CBOT	07/31/2010	28,445,834	1,000,000	27,445,834	0	0
114	TRADESTATION SECURITIES INC	Y	NFA	07/31/2010	81,021,031	2,527,101	78,493,930	375,564,065	547,602
115	TRADITION SECURITIES AND FUTURES INC	N	NFA	07/30/2010	1,572,706	1,000,000	572,706	0	0
116	TRILAND USA INC	N	NYME	07/31/2010	25,115,139	20,084,431	5,030,708	157,578,766	21,083,518
117	UBS FINANCIAL SERVICES INC	Y	NFA	07/30/2010	1,185,456,579	91,309,785	1,094,146,794	191,598,338	1,926,499
118	UBS SECURITIES LLC	Y	CBOT	07/31/2010	11,160,410,707	918,372,501	10,242,038,206	9,606,533,164	4,808,846,331
119	VELOCITY FUTURES LLC	N	NFA	07/31/2010	1,581,548	1,000,000	581,548	61,075,135	8,308,936
120	VISION FINANCIAL MARKETS LLC	Y	CME	07/30/2010	24,375,529	9,424,160	14,951,369	349,762,425	4,430,301
121	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	Y	NFA	07/31/2010	24,701,911	4,416,449	20,285,462	0	0
122	WELLS FARGO ADVISORS LLC	Y	NFA	07/30/2010	480,551,403	1,500,000	479,051,403	0	0
123	WELLS FARGO SECURITIES LLC	Y	CME	07/31/2010	970,687,346	7,506,157	963,181,189	0	0
124	WHITE COMMERCIAL CORPORATION	N	NFA	07/31/2010	2,517,749	1,000,000	1,517,749	0	0
125	WSD FINANCIAL USA INC	N	NFA	07/31/2010	1,194,172	1,000,000	194,172	0	0

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126	YORK BUSINESS ASSOCIATES LLC	N	NFA	07/31/2010	2,260,267	1,000,000	1,260,267	59,263,438	1,247,311
	Totals							136,493,324,921	33,600,365,873

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Futures Commission Merchant	(a)	(b)			(c)	(d)	(e)	(f)
June Web Page Update	127							
Additions	0							
Deletions	1							
HG CLEARING SERVICES LLC								
Name Change FROM FORTIS CLEARING AMERICAS LLC to ABN AMRO CLEARING CHICAGO LLC								
July Web Page Update	126							
*Allianz Global Investors Distributors LLC files financial statements quarterly.								
(a): B/D? : A 'Y' means the FCM is also registered with the								
(b): DSRO: Designated Self-Regulatory Organization.								
(c): A firm's net capital requirement is the greater of								
(1) \$1,000,000; or								
(2) risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total noncustomer risk maintenance margin; or								
(3) The amount of capital required by a registered futures association (currently NFA is the only such association); or								
(4) for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission.								

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	(a)	(b)			(c)	(d)	4d(a)(2) (e)	Pt. 30 Required (f)
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.								
(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.								
(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.								
Note: Any comments regarding information contained in this table may be brought to the attention of the CFTC's Division of Clearing and Intermediary Oversight via e-mail: mhendrickso@cftc.gov or mfranklin@cftc.gov.								